

FY 2018 GRANT TERMS AND CONDITONS Senior Demonstration Grant Program

These Corporation for National & Community Service (CNCS) Grant Terms and Conditions are binding on the grant recipient. By accepting funds under this award, the Recipient agrees to comply with, and include in all awards and these program-specific Grant Terms and Conditions, the CNCS general grant terms and conditions, all applicable federal statutes, regulations and guidelines, and any amendments thereto. The Recipient agrees to operate the funded program in accordance with the approved grant application and budget, supporting documents, and other representations made in support of the approved grant application. The terms “recipient” or “Recipient” are used to connote the grant recipient as appropriate, throughout these Terms and Conditions.

TABLE OF CONTENTS

| | | |
|---|------------------------------------------------------------|---|
| A | Stipends | 1 |
| B | Recognition Events | 1 |
| C | Failure to Maintain VSYS | 2 |
| D | Grant Period | 2 |
| E | Federal Financial Reports (FFRs) – Jan - Jun and Jul – Dec | 2 |
| F | Federal Financial Reports (FFRs) – Apr - Sep and Oct – Mar | 2 |
| G | Annual Progress Report | 3 |
| H | Recognition of Federal Funding | 3 |
| I | Program/Project Manager Authority | 3 |
| J | Prohibited Activities | 5 |
| K | External Evaluation and Data Collection | 5 |
| L | Lobby Disclosure | 5 |
| M | Reporting Requirements | 6 |
| N | Program Income | 6 |
| O | Budget and Programmatic Changes | 7 |
| P | National Criminal History Checking Training | 8 |

A. Stipends

To the extent stipends are paid, recipient may not expend funds approved for payment of stipends for any other purpose.

B. Recognition Events

Recipient will assure that at recognition events, CNCS is acknowledged as the federal agency under which this grant operates. This grant operates under the authority of the Corporation for National and Community Service. Funding for this grant may be from a source other than the Corporation for National and Community Service.

C. Failure to Maintain VSYS

Failure of the recipient to maintain Volunteer Service Year (VSY) program levels in accordance with the approved work plan and budget covered by the Notice of Grant Award indicates an under-performance of effort which could subject the grant to a reduction of VSYS and actions up to and including termination.

D. Grant Period

Unless otherwise specified, the award covers a three year project period. In approving a multiyear project period CNCS makes an initial award for the first budget period. Authority to operate the grant for subsequent budget periods is contingent upon satisfactory progress of program goals and VSY maintenance. The project period and the budget are noted on the award document.

E. Federal Financial Reports (FFRs) – Expenditures/Jan. – Jun. and Jul. – Dec.

Recipients with budget periods starting in January or July paid through HHS/PMS must submit the Standard Form 425, Federal Financial Report (FFR), semi-annually from the start date of the award to report the status of all funds. FFRs must report expenses on a cumulative basis over the performance period of the award and be submitted according to the following schedule:

| <i>Period Covering:</i> | <i>Report Due:</i> |
|-------------------------|--------------------|
| January 1 to June 30 | July 30 |
| July 1 to December 31 | January 30 |

Programs completing the final year of their grant must submit a final FFR that is cumulative over the entire grant period. This FFR is due 90 days after the close of the grant.

F. Federal Financial Reports (FFRs) – Expenditures/Apr - Sep and Oct - Mar.

Recipients with budget periods starting in April or October paid through HHS/PMS must submit the Standard Form 425, Federal Financial Report (FFR), semi-annually from the start date of the award to report the status of all funds. FFRs must report expenses on a cumulative basis over the performance period of the grant and be submitted according to the following schedule:

| <i>Period Covering:</i> | <i>Report Due:</i> |
|-------------------------|--------------------|
| April 1 - September 30 | October 30 |
| October 1 - March 30 | April 30 |

Programs completing the final year of their grant must submit a final FFR that is cumulative over the entire grant period. This FFR is due 90 days after the close of the grant.

G. Annual Progress Report

Recipients must prepare a full Progress report at the end of the 4th quarter of the budget period. The report must be submitted in eGrants no later than 30 days after the end of the designated reporting period. Recipients must prepare and submit the Progress Report Volunteer Service Year production data elements at the end of the 2nd and 4th quarters of the budget period. These reports must be submitted in eGrants no later than 30 days after the end of the designated reporting period.

H. Recognition of Federal Funding

When issuing statements, press releases, requests for proposals, bid solicitations, annual reports and other documents describing projects or programs funded in whole or in part with CNCS money, the recipient receiving federal funds, including but not limited to state and local governments, shall clearly state: (1) the percentage of the total cost of the program which will be financed with CNCS money, and (2) the dollar amount of CNCS funds for the project or program.

I. Program/Project Manager Authority

The Program/Project Manager for this award is listed on the face page of the Notice of Grant Award. The Program/Project Manager has full authority to represent CNCS in connection with management of the technical and programmatic performance of the award. This individual is not authorized to change the terms and conditions, estimated costs, or period of performance, or to give approvals, written or verbal, which is specifically reserved for the Grants Officer.

J. Prohibited Activities

While charging time to a Senior Demonstration Grant program, accumulating service, or training hours, or otherwise performing activities supported by the Senior Demonstration Grant Program or CNCS, staff and volunteers may not engage in the following activities:

1. Political activities.

- a. No part of any award shall be used to finance, directly or indirectly, any activity to influence the outcome of any election to public office, or any voter registration activity.

No project shall be conducted in a manner involving the use of funds, the provision of services, or the employment or assignment of personnel in a matter supporting or resulting in the identification of such project with:

- i. Any partisan or nonpartisan political activity associated with a candidate, or contending faction or group, in an election; or
 - ii Any activity to provide voters or prospective voters with transportation to the polls or similar assistance in connection with any such election; or
 - iii Any voter registration activity, except that voter registration applications and nonpartisan voter registration information may be made available to the public at the premises of the sponsor. But in making registration applications and nonpartisan voter registration information available, employees of the sponsor shall not express preferences or seek to influence decisions concerning any candidate, political party, election issue, or voting decision.

b. Notwithstanding 42 U.S.C. § 5043(c), language in CNCS's current appropriations legislation, as well as language in past appropriations legislation, provides that recipients are not permitted to use funds appropriated to CNCS used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

2. **Non-displacement of employed workers.** A Senior Demonstration Grant Program volunteer shall not perform any service or duty or engage in any activity which would otherwise be performed by an employee of the sponsor or which would supplant the hiring of or result in the displacement of employees, or impair existing contracts for services.
3. **Compensation for service.** A Senior Demonstration Grant Program agency or organization to which Senior Demonstration Grant Program volunteers are assigned, or which operates or supervises any Senior Demonstration Grant program shall not request or receive any compensation from Senior Demonstration Grant Program volunteers or from beneficiaries for services of Senior Demonstration Grant Program volunteers.
 - a. A sponsor is not prohibited from soliciting and accepting voluntary contributions from the community at large to meet its local support obligations under the grant or from entering into agreements with parties other than beneficiaries to support additional volunteers beyond those supported by CNCS award;

- b. A volunteer station may contribute to the financial support of the Senior Demonstration Grant Program. However, this support shall not be a required precondition for a potential station to obtain Senior Demonstration Grant Program volunteer services.
 - c. If a volunteer station agrees to provide funds to support additional Senior
 - d. Demonstration Grant Program volunteers or pay for other volunteer support costs, the agreement shall be stated in a written Memorandum of Understanding. The sponsor shall withdraw services if the station's inability to provide monetary or in-kind support to the project under the Memorandum of Understanding diminishes or jeopardizes the project's financial capabilities to fulfill its obligations;
 - e. Under no circumstances shall a Senior Demonstration Grant Program volunteer receive a fee for service from service recipients, their legal guardian, members of their family, or friends.
4. **Labor and anti-labor activity.** The sponsor shall not use grant funds directly or indirectly to finance labor or anti-labor organization or related activity.
5. **Fair labor standards.** A sponsor that employs laborers and mechanics for construction, alteration, or repair of facilities shall pay wages at prevailing rates as determined by the Secretary of Labor in accordance with the Davis-Bacon Act, as amended, 40 U.S.C. 276a.
6. **Religious activities.** A Senior Demonstration Grant Program volunteer or a member of the project staff authorized or funded by CNCS shall not give religious instruction, conduct worship services or engage in any form of proselytization as part of his or her duties.

A sponsor or volunteer station may retain its independence and may continue to carry out its mission, including the definition, development, practice, and expression of its religious beliefs, provided that it does not use the Senior Demonstration Grant Program or CNCS funds to support any inherently religious activities, such as worship, religious instruction, or proselytization, as part of the programs or services authorized or funded. If an organization conducts such activities, the activities must be offered separately, in time or location, from the programs or services authorized or funded under this part.

7. **Nepotism.** Persons selected for project staff positions shall not be related by blood or marriage to other project staff, sponsor staff or officers, or members of the sponsor Board of Directors, unless there is written concurrence from the community group established by the sponsor and with notification to the Corporation.

K. EXTERNAL AND DATA COLLECTION

The recipient must cooperate with CNCS and its evaluators in all monitoring and evaluation efforts. As part of this effort, the recipient must collect and submit certain project data, as defined in the Project Profile and Volunteer Activity (PPVA) and must provide data as requested or needed to support external evaluations.

L. LOBBY DISCLOSURE

For grant awards exceeding \$100,000, pursuant to 31 U.S.C. 1352, the Recipient is required to file a disclosure report, Standard Form LLL, Disclosure of Lobbying Activities, at the end of any quarter, when the Recipient has paid or agreed to pay any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. The report must be submitted to the Corporation State Program Director.

M. REPORTING REQUIREMENTS

The Recipient is responsible for timely submission of periodic financial and progress reports during the project period and a final financial report at the end of the period.

1. **Financial Reports.** The Recipient shall complete and submit financial reports in eGrants to report the status of all funds. The Recipient must submit timely cumulative financial reports in accordance with CNCS guidelines and sections E and F, above.
2. **Final Financial Report.** A Recipient must submit, in lieu of the last semi-annual financial report, a final financial report. These reports are due no later than 90 days after the end of the project period.
3. **Reporting at the Dept. of Health and Human Services/Payment Management System. (HHS/PMS)** All Recipients must submit the Federal Financial Report (FFR) Cash Transactions Report on a quarterly basis to the HHS/PMS per the Electronic Funds Transfer Agreement.
4. **Progress Reports.** The Recipient shall complete and submit timely progress reports, including a summary of accomplishments during the reporting period. This includes reporting on the progress to date made by the Recipient for that reporting period. The report is submitted through the appropriate electronic system. Individual program guidance determines the due dates for the reports.
5. **Requests for Extensions.** Each recipient must submit a financial report by the given dates. Extensions of reporting deadlines will be granted only when 1) the report cannot be furnished in a timely manner for reasons, in the determination of CNCS, which are legitimately beyond the control of the recipient, and 2) CNCS receives a written request explaining the need for an extension before the due date of the report.

Extensions of deadlines for financial reports may only be granted by the Field Financial Management Center (FFMC), and extensions of deadlines for progress reports may only be granted by the Program Office.

6. **Other.** The Recipient shall meet as necessary with the CNCS Program Office or with other staff or consultants designated by the CNCS Program Official to exchange views, ideas, and information concerning the project. The Recipient shall submit such special reports as may be reasonably requested by CNCS.

N. PROGRAM INCOME

1. **General.** Income, including fees for service earned as a direct result of the program activities during the award period, must be retained by the recipient and used to finance the program.
2. **Excess Program Income.** Program income earned in excess of the amount needed to finance the program must follow the appropriate requirements of 2 CFR § 200.307(e)(1) and be deducted from total claimed costs, or with prior written approval from CNCS, the requirements of 2 CFR § 200.307(e)(2) through a budget amendment be used to enhance the program (additive process). Recipients that earn excess income must specify the amount of the excess in the comment box on the financial report.
3. **Fees for service.** When using assistance under this grant, the recipient may not enter into a contract for or accept fees for service performed by participants when:
 - a. The service benefits a for-profit entity;
 - b. The service falls within the other prohibited activities set forth in statute, regulation, or these award terms and conditions.

O. BUDGET AND PROGRAMMATIC CHANGES

1. **Programmatic Changes.** The recipient must obtain the prior written approval of CNCS before making changes
 - a. in the scope, objectives or goals of the project, transfer of the project effort, or project timeline whether or not they involve budgetary changes;
 - b. in key personnel specified in the application or the federal award;
 - c. involving the disengagement from the project for more than three months, or a 25 percent reduction in time devoted to the project by the approved Project Director.
2. **Budgetary Changes.** The recipient must obtain the prior written approval of CNCS's Field Financial Management Center (FFMC) before deviating from the approved budget in any of the following ways:
 - a. specific costs requiring prior approval before incurrence under 2 CFR § 200.407. examples of costs requiring prior written approval before they are allowed include rearrangement and alteration costs, and pre-award costs;

- b. purchases of equipment over \$5,000 using grant funds, unless specified in the approved application and budget;
 - c. the Federal awarding agency may, at its option, restrict the transfer of funds among direct cost categories or programs, functions and activities for Federal awards in which the Federal share of the project of such transfers exceeds or is expected to exceed 10 percent of the total budget as last approved by the Federal awarding agency. The Federal awarding agency cannot permit a transfer that would cause any Federal appropriation to be used for purposes other than those consistent with the appropriation;
 - d. changes in the amount of approved cost-sharing or matching provided by the nonfederal entity;
 - e. the inclusion, unless waived by the federal awarding agency, of costs that require prior approval in accordance with sub part E cost principles in 2 CFR.
3. Approvals of Programmatic and Budget Changes. CNCS's Grants officers are the only officials who have the authority to alter or change the provisions or requirements of the grant. Programmatic changes also require final approval of CNCS's Field Financial Management Center (FFMC) after written recommendation for approval is received from the Program Office. The Grants Officers will execute written amendments, and Recipients should not assume approvals have been granted unless documentation from the Grants Office has been received.

P. NATIONAL SERVICE CRIMINAL HISTORY CHECK TRAINING

All grant recipients must complete CNCS' National Service Criminal History Check (NSCHC) training every year. The CNCS designated e-course provides a thorough overview of the requirements and can be found at: <http://cncstraining.articulate-online.com/4240214797> unless otherwise notified by CNCS. Each grant recipient must identify at minimum one staff person who has some responsibility for NSCHC compliance to fulfill this requirement on behalf of the grant recipient. The grant recipient must retain the certificate of completion and assign staff to retake the course annually prior to the expiration of the certificate. Grant recipients must save certificates of completion from each year as grant records.